

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.nyceec.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.nyceec.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.nyceec.com
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.nyceec.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.nyceec.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.nyceec.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.nyceec.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.nyceec.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.nyceec.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	www.nyceec.com

Board of Directors Listing

Name	Khouzami, Marc	Name	Paige, Renwick
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/29/2017	Term Start Date	10/26/2015
Term Expiration Date	10/25/2020	Term Expiration Date	10/25/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Brodsky, Jeffrey
Chair of Board		Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	Elected by Board
Term Start Date		Term Start Date	10/06/2014
Term Expiration Date		Term Expiration Date	10/25/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	McKeown, Sadie	Name	Hale, Greg
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/25/2016	Term Start Date	10/25/2016
Term Expiration Date	10/25/2019	Term Expiration Date	10/25/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Gupta, Ashok	Name	Blitzer, Hannah
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/25/2016	Term Start Date	06/01/2017
Term Expiration Date	10/25/2019	Term Expiration Date	Ex-Officio
Title		Title	Senior Advisor to Deputy Mayor, Housing & Econ Dev
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio		Ex-officio	Yes
Nominated By	Other	Nominated By	Local
Appointed By	Other	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Schlein, Bruce	Name	Fenton, David
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/25/2017	Term Start Date	10/25/2016
Term Expiration Date	10/25/2020	Term Expiration Date	10/25/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Probst, Curtis	Name	Vacant
Chair of Board	No	Chair of Board	
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/26/2015	Term Start Date	
Term Expiration Date	10/25/2018	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

Board of Directors Listing

Name	Zeldin, Elizabeth	Name	Chambers, Mark
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/25/2017	Term Start Date	06/01/2017
Term Expiration Date	10/25/2020	Term Expiration Date	Ex-Officio
Title		Title	Director of Mayor's Office of Sustainability
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio		Ex-officio	Yes
Nominated By	Other	Nominated By	Local
Appointed By	Other	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No	Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Constable, Posie	Director, Business Development	Managerial	Business Development			FT	No	157,481.00	158,030	0	16,657	0	0	174,687	No	
Diamond, Christopher	Director, Engineering	Managerial	Engineering			FT	No	146,296.00	146,323	0	7,171	2,769	0	156,263	No	
Dias, Erangi	Associate Director, Business Development	Professional	Business Development			FT	No	131,250.00	131,398	0	15,625	2,154	0	149,177	No	
Kalisch, Mayra	Executive Assistant	Administrative and Clerical				FT	No	80,000.00	15,385	0	0	308	0	15,693	No	
Kerstetter, Michael	Deputy General Counsel	Professional	Legal			FT	No	120,000.00	121,394	0	14,375	2,769	0	138,538	No	
LaJoie, Naeemah	Director, Operations	Managerial	Operations			FT	No	133,900.00	133,935	0	7,800	0	0	141,735	No	
Lee, Fred	Director, Legal Affairs & Finance	Managerial	Legal			FT	No	175,711.00	175,753	0	20,274	2,769	0	198,796	No	
Leeds, Susan	Chief Executive Officer	Managerial				FT	No	235,000.00	235,041	0	33,750	0	0	268,791	No	
Luk, Jessica	Associate Director, Communications and Development	Managerial	Marketing and Communications			FT	No	138,802.00	138,861	0	13,880	2,769	0	155,510	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Merves, Jay	Director, Business Development and Finance	Managerial	Business Development			FT	No	182,311.00	182,311	0	20,875	0	0	203,186	No	
O'Donnell, Patrick	Associate Director, Engineering	Professional	Engineering			FT	No	89,000.00	89,031	0	0	0	0	89,031	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Blitzer, Hannah	Board of Directors												X	
Chambers, Mark	Board of Directors												X	
Fenton, David	Board of Directors												X	
Gupta, Ashok	Board of Directors												X	
Khouzami, Marc	Board of Directors												X	
Schlein, Bruce	Board of Directors												X	
Zeldin, Elizabeth	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Probst, Curtis	Board of Directors												X	
Hale, Greg	Board of Directors												X	
Paige, Renwick	Board of Directors												X	
Brodsky, Jeffry	Board of Directors												X	
McKeown, Sadie	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
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Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Constable, Posie	Director, Business Development				X									
Diamond, Christopher	Director, Engineering				X									
Dias, Erangi	Associate Director, Business Development				X									
Kerstetter, Michael	Deputy General Counsel				X									
LaJoie, Naeemah	Director, Operations				X									
Lee, Fred	Director, Legal Affairs & Finance				X									
Leeds, Susan	Chief Executive Officer				X									
Luk, Jessica	Associate Director, Communications and Development				X									
Merves, Jay	Director, Business Development and Finance				X									

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$3,184,767
Investments	\$0
Receivables, net	\$941,256
Other assets	\$49,490
Total Current Assets	\$4,175,513
Noncurrent Assets	
Restricted cash and investments	\$9,143,257
Long-term receivables, net	\$38,789,069
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$129,759
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$129,759
Total Noncurrent Assets	\$48,062,085
Total Assets	\$52,237,598

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$703,406
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$40,000
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$743,406

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$8,524,000
Long Term Leases	\$0
Other long-term obligations	\$3,555,611
Total Noncurrent Liabilities	\$12,079,611

Total Liabilities

\$12,823,017

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$37,207,392
Unrestricted	\$2,207,189
Total Net Assets	\$39,414,581

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

Charges for services	\$0
Rental & financing income	\$2,928,217
Other operating revenues	\$0
Total Operating Revenue	\$2,928,217

Operating Expenses

Salaries and wages	\$1,482,133
Other employee benefits	\$314,932
Professional services contracts	\$429,134
Supplies and materials	\$10,941
Depreciation & amortization	\$50,211
Other operating expenses	\$179,561
Total Operating Expenses	\$2,466,912

Operating Income (Loss) **\$461,305**

Nonoperating Revenues

Investment earnings	\$5,726
State subsidies/grants	\$1,258,745
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$200,000
Total Nonoperating Revenue	\$1,464,471

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$382,510
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$2,708,650
Total Nonoperating Expenses	\$3,091,160
Income (Loss) Before Contributions	(\$1,165,384)
Capital Contributions	\$0
Change in net assets	(\$1,165,384)
Net assets (deficit) beginning of year	\$40,579,965
Other net assets changes	\$0
Net assets (deficit) at end of year	\$39,414,581

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Other

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Bank of America term loan	Refunding	0.00	04/15/2016		Negotiated	3	Fixed	8	0.00		
	New	4,700,000.00									
	Total	4,700,000.00									
Deutsche Bank revolving loan facility	Refunding	0.00	07/26/2016		Negotiated	3.94	Variable	3	10,000.00		
	New	0.00									
	Total	0.00									
MacArthur Foundation term loan	Refunding	0.00	07/01/2016		Negotiated	1	Fixed	15	0.00		
	New	2,000,000.00									
	Total	2,000,000.00									

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Authority Debt - General Obligation					
Authority Debt - General Obligation					
Authority Debt - Other					
Authority Debt - Other	0.00	300,000.00	6,700,000.00	0.00	7,000,000.00
Authority Debt - Revenue					
Authority Debt - Revenue					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					
Other State-Funded					
Other State-Funded					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.nyceec.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.nyceec.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

1. Source of Loan Funds: Federal
 Name of Loan Recipient: 11 W. 126th Street LLC
 Address Line1: 11 W. 126th St.
 Address Line2:
 City: NEW YORK
 State: NY
 Zip - Plus4: 10022
 Province/Region:
 Country: USA
 Original Amount of Loan: \$600,000
 Date Loan Awarded: 11/21/2014
 Interest Rate(%): 6.25
 Length of Loan(# of years
 to repay): 1
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

2. Source of Loan Funds: Private
 Name of Loan Recipient: 1231 St. Lawrence LLC
 Address Line1: 1232 St. Lawrence Ave.
 Address Line2:
 City: BRONX
 State: NY
 Zip - Plus4: 10472
 Province/Region:
 Country: USA
 Original Amount of Loan: \$66,000
 Date Loan Awarded: 05/01/2015
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 4
 Amount of Loan Principal Repaid
 to Date: \$24,596.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

3. Source of Loan Funds: Federal
 Name of Loan Recipient: 125 Maiden Lane II
 Address Line1: 125 Maiden Lane
 Address Line2:
 City: NEW YORK
 State: NY
 Zip - Plus4: 10038
 Province/Region:
 Country: USA
 Original Amount of Loan: \$2,800,000
 Date Loan Awarded: 07/22/2014
 Interest Rate(%): 6.6
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$213,308.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

4. Source of Loan Funds: Private
 Name of Loan Recipient: 2244 Morris Avenue LLC
 Address Line1: c/o Marc Kurman and Jonathan Coren 1894 Ea
 Address Line2:
 City: BRONX
 State: NY
 Zip - Plus4: 10461
 Province/Region:
 Country: USA
 Original Amount of Loan: \$140,000
 Date Loan Awarded: 06/09/2014
 Interest Rate(%): 6.25
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$47,856.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

5. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: 2260 Parkview Owners Corp.
 Address Line1: 2260 Bronx Park East
 Address Line2:
 City: BRONX
 State: NY
 Zip - Plus4: 10463
 Province/Region:
 Country: USA
 Original Amount of Loan: \$69,720
 Date Loan Awarded: 11/01/2016
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

6. Source of Loan Funds: Federal
 Name of Loan Recipient: 2530 Foster Partners LLC
 Address Line1: 2530 Foster Ave.
 Address Line2:
 City: BROOKLYN
 State: NY
 Zip - Plus4: 11210
 Province/Region:
 Country: USA
 Original Amount of Loan: \$62,000
 Date Loan Awarded: 05/19/2015
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$20,137.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

7. Source of Loan Funds: Federal
 Name of Loan Recipient: 305 East 24th Owners Corp.
 Address Line1: 305 E. 24th Street
 Address Line2:
 City: NEW YORK
 State: NY
 Zip - Plus4: 10010
 Province/Region:
 Country: USA
 Original Amount of Loan: \$2,950,000
 Date Loan Awarded: 12/01/2015
 Interest Rate(%): 6.25
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Private
 Name of Loan Recipient: 321 West 90th Street Owners Corp.
 Address Line1: c/o Alexander Wolf & Co. Inc
 Address Line2: 1 Dupont Street
 City: PLAINVIEW
 State: NY
 Zip - Plus4: 11803
 Province/Region:
 Country: USA
 Original Amount of Loan: \$400,000
 Date Loan Awarded: 12/30/2013
 Interest Rate(%): 6
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$152,267.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Private
 Name of Loan Recipient: 3214 LLC.
 Address Line1: 3411 Kingsbridge Avenue
 Address Line2:
 City: BRONX
 State: NY
 Zip - Plus4: 10463
 Province/Region:
 Country: USA
 Original Amount of Loan: \$156,000
 Date Loan Awarded: 04/09/2014
 Interest Rate(%): 6.75
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$91,455.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

10. Source of Loan Funds: Private
 Name of Loan Recipient: 575 E. 137th Street Real Estate Inc.
 Address Line1: 102 Primrose Drive
 Address Line2:
 City: NEW HYDE PARK
 State: NY
 Zip - Plus4: 11040
 Province/Region:
 Country: USA
 Original Amount of Loan: \$79,500
 Date Loan Awarded: 12/01/2013
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$24,000.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

11. Source of Loan Funds: Other
 Name of Loan Recipient: 622 VCA LLC
 Address Line1: Project: 622 Van Cortland Park
 Address Line2: 622 Van Cortland Park Ave.
 City: YONKERS
 State: NY
 Zip - Plus4: 10705
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,422
 Date Loan Awarded: 04/01/2016
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$7,429.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

12. Source of Loan Funds: Federal
 Name of Loan Recipient: 780 East 132nd St. Co. LLO
 Address Line1: c/o Benenson Capital Partners
 Address Line2: 708 Third Avenue,28th Floor
 City: NEW YORK
 State: NY
 Zip - Plus4: 10017
 Province/Region:
 Country: USA
 Original Amount of Loan: \$202,118
 Date Loan Awarded: 07/02/2014
 Interest Rate(%): 6.75
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$108,322.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

13. Source of Loan Funds: Federal 14. Source of Loan Funds: Private
 Name of Loan Recipient: Board of Managers of Cipriani Club Resider Name of Loan Recipient: Boro Park Village
 Address Line1: 55 Wall Street Address Line1: PO Box 191094
 Address Line2: Address Line2:
 City: NEW YORK City: BROOKLYN
 State: NY State: NY
 Zip - Plus4: 10004 Zip - Plus4: 11219
 Province/Region: Province/Region:
 Country: USA Country: USA
 Original Amount of Loan: \$6,750,000 Original Amount of Loan: \$130,000
 Date Loan Awarded: 06/20/2016 Date Loan Awarded: 05/15/2015
 Interest Rate(%): 7 Interest Rate(%): 7
 Length of Loan(# of years Length of Loan(# of years
 to repay): 8 to repay): 6
 Amount of Loan Principal Repaid Amount of Loan Principal Repaid
 to Date: \$0.00 to Date: \$15,376.00
 Purpose of Loan: Commercial Property Purpose of Loan: Residential Property
 Construction/Acquisition/Revitalization/I Construction/Acquisition/Rehabilitation/I
 mprovement mprovement
 Was the Loan expected to result Was the Loan expected to result
 in new jobs being created? No in new jobs being created? No
 If yes, how many jobs were planned If yes, how many jobs were planned
 to be created? to be created?
 If yes, how many jobs have been If yes, how many jobs have been
 created to date? created to date?
 Have the terms of the loan been Have the terms of the loan been
 completed? No completed? No

Loan Information

15. Source of Loan Funds: Federal
 Name of Loan Recipient: Cubit Power One, Inc.
 Address Line1: 4352 Victory Blvd
 Address Line2:
 City: STATEN ISLAND
 State: NY
 Zip - Plus4: 10314
 Province/Region:
 Country: USA
 Original Amount of Loan: \$6,300,000
 Date Loan Awarded: 12/23/2014
 Interest Rate(%): 10
 Length of Loan(# of years
 to repay): 12
 Amount of Loan Principal Repaid
 to Date: \$1,977,831.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

16. Source of Loan Funds: Private
 Name of Loan Recipient: Dan & Sheila Winters
 Address Line1: 518 East Shore Road
 Address Line2:
 City: DELANSON
 State: NY
 Zip - Plus4: 12053
 Province/Region:
 Country: USA
 Original Amount of Loan: \$450,000
 Date Loan Awarded: 03/31/2015
 Interest Rate(%): 6
 Length of Loan(# of years
 to repay): 1
 Amount of Loan Principal Repaid
 to Date: \$450,000.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? Yes

Loan Information

17. Source of Loan Funds: Other
 Name of Loan Recipient: Ecosave Services, LLC
 Address Line1: Project: Valley Forge Casino
 Address Line2: 1160 1st Ave
 City: CHESTER
 State: PA
 Zip - Plus4: 19013
 Province/Region:
 Country: USA
 Original Amount of Loan: \$1,595,000
 Date Loan Awarded: 03/31/2017
 Interest Rate(%): 6.5
 Length of Loan(# of years
 to repay): 12
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

18. Source of Loan Funds: Federal
 Name of Loan Recipient: Eli and Meriam Karp
 Address Line1: 1335 47th Street
 Address Line2:
 City: BROOKLYN
 State: NY
 Zip - Plus4: 11219
 Province/Region:
 Country: USA
 Original Amount of Loan: \$1,839,410
 Date Loan Awarded: 09/09/2015
 Interest Rate(%): 7.5
 Length of Loan(# of years
 to repay): 1
 Amount of Loan Principal Repaid
 to Date: \$385,367.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

<p>19. Source of Loan Funds: Not for Profit Name of Loan Recipient: Elmo Homes, Inc. Address Line1: 728 41st St. Address Line2: City: BROOKLYN State: NY Zip - Plus4: 11232 Province/Region: Country: USA Original Amount of Loan: \$181,582 Date Loan Awarded: 11/01/2016 Interest Rate(%): 5.5 Length of Loan(# of years to repay): 8 Amount of Loan Principal Repaid to Date: \$0.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>	<p>20. Source of Loan Funds: Private Name of Loan Recipient: Fairfax Owners Corporation Address Line1: Project: 110-15 71st Road Address Line2: 110-15 71st Road City: FOREST HILLS State: NY Zip - Plus4: 11375 Province/Region: Country: USA Original Amount of Loan: \$86,280 Date Loan Awarded: 10/01/2015 Interest Rate(%): 7 Length of Loan(# of years to repay): 4 Amount of Loan Principal Repaid to Date: \$23,585.00 Purpose of Loan: Residential Property Construction/Acquisition/Rehabilitation/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>
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Loan Information

21. Source of Loan Funds: Federal
 Name of Loan Recipient: Greenwood Millennium Broadway LLC.
 Address Line1: 134 East 40th Street
 Address Line2:
 City: NEW YORK
 State: NY
 Zip - Plus4: 10016
 Province/Region:
 Country: USA
 Original Amount of Loan: \$5,000,000
 Date Loan Awarded: 03/26/2013
 Interest Rate(%): 8.5
 Length of Loan(# of years
 to repay): 1
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

22. Source of Loan Funds: Federal
 Name of Loan Recipient: Greenwood Millennium Hilton LLC.
 Address Line1: 134 East 40th Street
 Address Line2:
 City: NEW YORK
 State: NY
 Zip - Plus4: 10016
 Province/Region:
 Country: USA
 Original Amount of Loan: \$2,400,000
 Date Loan Awarded: 12/06/2012
 Interest Rate(%): 7.75
 Length of Loan(# of years
 to repay): 9
 Amount of Loan Principal Repaid
 to Date: \$792,568.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

23. Source of Loan Funds: Private
 Name of Loan Recipient: Kreatsoulas Family LP
 Address Line1: 3411 Kingsbridge Avenue
 Address Line2:
 City: BRONX
 State: NY
 Zip - Plus4: 10463
 Province/Region:
 Country: USA
 Original Amount of Loan: \$153,500
 Date Loan Awarded: 04/09/2014
 Interest Rate(%): 6.75
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$89,988.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

24. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: NYC Storage (353 Chester) SPE LLC
 Address Line1: 353 Chester Street
 Address Line2:
 City: BROOKLYN
 State: NY
 Zip - Plus4: 11212
 Province/Region:
 Country: USA
 Original Amount of Loan: \$1,247,846
 Date Loan Awarded: 11/01/2016
 Interest Rate(%): 7.5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

25. Source of Loan Funds: Private
 Name of Loan Recipient: Natasha Harsh
 Address Line1: 2 Spencer Place
 Address Line2: Apt.2
 City: BROOKLYN
 State: NY
 Zip - Plus4: 11216
 Province/Region:
 Country: USA
 Original Amount of Loan: \$80,000
 Date Loan Awarded: 11/01/2013
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$34,777.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

26. Source of Loan Funds: Federal
 Name of Loan Recipient: Radiator Labs, Inc.
 Address Line1: 15 Metrotech Center
 Address Line2:
 City: BROOKLYN
 State: NY
 Zip - Plus4: 11201
 Province/Region:
 Country: USA
 Original Amount of Loan: \$247,096
 Date Loan Awarded: 12/22/2014
 Interest Rate(%): 8
 Length of Loan(# of years
 to repay): 1
 Amount of Loan Principal Repaid
 to Date: \$247,096.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? Yes

Loan Information

27. Source of Loan Funds: Private
 Name of Loan Recipient: Ramneg Realty Corp.
 Address Line1: 102 Primrose Drive
 Address Line2:
 City: NEW HYDE PARK
 State: NY
 Zip - Plus4: 11040
 Province/Region:
 Country: USA
 Original Amount of Loan: \$49,500
 Date Loan Awarded: 12/01/2013
 Interest Rate(%): 7
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$35,500.00
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? Yes

28. Source of Loan Funds: Private
 Name of Loan Recipient: River Arts Apartments, Inc.
 Address Line1: 159-00 Riverside Drive West
 Address Line2:
 City: NEW YORK
 State: NY
 Zip - Plus4: 10032
 Province/Region:
 Country: USA
 Original Amount of Loan: \$350,000
 Date Loan Awarded: 09/25/2014
 Interest Rate(%): 6.25
 Length of Loan(# of years
 to repay): 6
 Amount of Loan Principal Repaid
 to Date: \$72,193.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

29. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: The Bay View Home Association, Inc.
 Address Line1: 671 47th Street
 Address Line2:
 City: BROOKLYN
 State: NY
 Zip - Plus4: 11220
 Province/Region:
 Country: USA
 Original Amount of Loan: \$142,187
 Date Loan Awarded: 05/01/2017
 Interest Rate(%): 6
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

30. Source of Loan Funds: Federal
 Name of Loan Recipient: Urban Greenfit SPV, LLC.
 Address Line1: 525 Main Street
 Address Line2:
 City: ROOSEVELT ISLAND
 State: NY
 Zip - Plus4: 10044
 Province/Region:
 Country: USA
 Original Amount of Loan: \$4,500,000
 Date Loan Awarded: 11/20/2012
 Interest Rate(%): 4.5
 Length of Loan(# of years
 to repay): 9
 Amount of Loan Principal Repaid
 to Date: \$2,825,244.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

Loan Information

31. Source of Loan Funds: Federal
Name of Loan Recipient: Zeisser Realty, LLC
Address Line1: 153-175 Father Zeiser Place
Address Line2:
City: BRONX
State: NY
Zip - Plus4: 10468
Province/Region:
Country: USA
Original Amount of Loan: \$215,380
Date Loan Awarded: 05/01/2015
Interest Rate(%): 6.75
Length of Loan(# of years
to repay): 5
Amount of Loan Principal Repaid
to Date: \$77,996.00
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/I
mprovement
Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: