

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Governance Information (Authority-Related)

Question		Response	URL (if Applicable)
1.	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	https://www.nyceec.com/regulatory-and-financial-matters/
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	https://www.nyceec.com/regulatory-and-financial-matters/
3.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4.	Does the independent auditor provide non-audit services to the Authority?	No	N/A
5.	Does the Authority have an organization chart?	Yes	https://www.nyceec.com/wp-content/uploads/2020/02/Organization-Chart.pdf
6.	Are any Authority staff also employed by another government agency?	No	
7.	Has the Authority posted their mission statement to their website?	Yes	https://www.nyceec.com/wp-content/uploads/2020/02/Mission-Statement.pdf
8.	Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
9.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		https://www.nyceec.com/regulatory-and-financial-matters/

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Governance Information (Board-Related)

Question	Response	URL (If Applicable)
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		https://www.nyceec.com/wp-content/uploads/2020/02/Board-of-Directors-and-Committees.pdf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		https://www.nyceec.com/regulatory-and-financial-matters/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	https://www.nyceec.com/wp-content/uploads/2020/02/By-laws.pdf
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	https://www.nyceec.com/wp-content/uploads/2020/02/Code-of-Ethics.pdf
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	https://www.nyceec.com/regulatory-and-financial-matters/

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

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Board of Directors Listing

Name	Brodsky, Jeff	Nominated By	Other
Chair of the Board	Yes	Appointed By	Other
If yes, Chair Designated by	Elected by Board	Confirmed by Senate?	N/A
Term Start Date	10/24/2018	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2021	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Chambers, Mark	Nominated By	Local
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	6/22/2017	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	Ex-Officio	Complied with Training Requirement of Section 2824?	No
Title	Director of Mayor's Office of Sustainability	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?	No	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	Yes
Designee Name		Ex-Officio	Yes

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

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Name	Darga, Kim	Nominated By	Local
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	12/13/2018	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	Ex-Officio	Complied with Training Requirement of Section 2824?	No
Title	Ex-Officio Director	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?	No	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	Yes
Designee Name		Ex-Officio	Yes

Name	Gupta, Ashok	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/26/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2019	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Name	Hale, Greg	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/26/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2019	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	Yes
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Khouzami, Marc	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/25/2017	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2020	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Name	McKeown, Sadie	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/26/2016	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2019	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Paige, Renwick	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/24/2018	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2021	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

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Certified Date: 08/25/2020

Name	Schlein, Bruce	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/25/2017	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2020	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Vacant	Nominated By	Other
Chair of the Board		Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	
Term Start Date		Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date		Complied with Training Requirement of Section 2824?	
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	
Designee Name		Ex-Officio	

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Status: CERTIFIED

Certified Date: 08/25/2020

Name	Vacant	Nominated By	Other
Chair of the Board		Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	
Term Start Date		Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date		Complied with Training Requirement of Section 2824?	
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	
Designee Name		Ex-Officio	

Name	Vacant	Nominated By	Other
Chair of the Board		Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	
Term Start Date		Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date		Complied with Training Requirement of Section 2824?	
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	
Designee Name		Ex-Officio	

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Name	Vacant	Nominated By	Other
Chair of the Board		Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	
Term Start Date		Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date		Complied with Training Requirement of Section 2824?	
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	
Designee Name		Ex-Officio	

Name	Zeldin, Elizabeth	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/25/2017	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2020	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Staff Listing

Name	Title	Group	Department/ Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensati on/Allowanc es/Adjustme nts	Total Compensati on paid by Authority	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local governme nt
Constable, Posie	Director, Business Development	Managerial	Business Development			FT	No	\$177,513.00	\$141,931.00	\$0.00	\$15,004.00	\$0.00	\$0.00	\$156,935.00	No	
Dias, Erangi	Associate Director, Business Development	Professional	Business Development			FT	No	\$139,841.00	\$139,841.00	\$0.00	\$13,584.00	\$4,000.00	\$0.00	\$157,425.00	No	
Erwin, Peter	Program Associate	Operational				FT	No	\$74,119.00	\$74,119.00	\$0.00	\$5,250.00	\$0.00	\$0.00	\$79,369.00	No	
Kalisch, Mayra	Executive Assistant	Administrative and Clerical				FT	No	\$82,942.00	\$82,942.00	\$1,041.00	\$10,000.00	\$4,000.00	\$0.00	\$97,983.00	No	
Kerstetter, Michael	Deputy General Counsel	Professional	Legal			FT	No	\$131,122.00	\$131,122.00	\$0.00	\$12,558.00	\$4,000.00	\$0.00	\$147,680.00	No	
LaJoie, Naeemah	Director, Operations	Managerial	Operations			FT	No	\$144,004.00	\$144,004.00	\$0.00	\$13,792.00	\$0.00	\$0.00	\$157,796.00	No	
Lee, Fred	Director, Legal Affairs & Finance	Executive	Legal			FT	No	\$187,220.00	\$187,220.00	\$0.00	\$9,137.00	\$4,000.00	\$0.00	\$200,357.00	No	
Leeds, Susan	Co-Chief Executive Officer	Executive				FT	No	\$242,050.00	\$196,432.00	\$0.00	\$0.00	\$0.00	\$0.00	\$196,432.00	No	
Luk, Jessica	Associate Director, Communication s and Development	Managerial	Marketing and Communication s			FT	No	\$148,678.00	\$125,742.00	\$0.00	\$13,714.00	\$4,000.00	\$0.00	\$143,456.00	No	
Merves, Jay	Director, Business Development and Finance	Executive	Business Development			FT	No	\$192,385.00	\$192,385.00	\$0.00	\$18,231.00	\$0.00	\$0.00	\$210,616.00	No	
O'Donnell,	Director of	Technical and	Engineering			FT	No	\$116,518.00	\$116,518.00	\$0.00	\$10,161.00	\$0.00	\$0.00	\$126,679.00	No	

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Patrick	Engineering	Engineering														
Probst, Curtis	Co-Chief Executive Officer	Executive				FT	No	\$242,050.00	\$193,640.00	\$0.00	\$0.00	\$0.00	\$0.00	\$193,640.00		No
Turner, Kimberly	Director of Risk Management	Managerial				FT	No	\$172,557.00	\$90,208.00	\$0.00	\$8,500.00	\$0.00	\$0.00	\$98,708.00		No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

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Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated With the Authority after those individuals left the Authority?	No
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Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Brodsky, Jeff	Board of Directors												X	
Chambers, Mark	Board of Directors												X	
Darga, Kim	Board of Directors												X	
Gupta, Ashok	Board of Directors												X	
Hale, Greg	Board of Directors												X	
Khouzami, Marc	Board of Directors												X	
McKeown, Sadie	Board of Directors												X	
Paige, Renwick	Board of Directors												X	
Schlein, Bruce	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Zeldin, Elizabeth	Board of Directors												X	

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Constable, Posie	Director, Business Development				X									
Dias, Erangi	Associate Director, Business Development				X									
Kerstetter, Michael	Deputy General Counsel				X									
LaJoie, Naeemah	Director, Operations				X									
Lee, Fred	Director, Legal Affairs & Finance				X									
Leeds, Susan	Co-Chief Executive Officer				X									
Luk, Jessica	Associate Director, Communications and Development				X									
Merves, Jay	Director, Business Development and Finance				X									
O'Donnell, Patrick	Director of Engineering				X									

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

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Certified Date: 08/25/2020

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Probst, Curtis	Co-Chief Executive Officer				X									
Turner, Kimberly	Director of Risk Management				X									

Annual Report for New York City Energy Efficiency Corporation

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Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct?	Yes
Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS?	No

Name of Subsidiary/Component Unit	Status
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Request Subsidiary/Component Unit Change

Name of Subsidiary/Component Unit	Status	Requested Changes
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Request Add Subsidiaries/Component Units

Name of Subsidiary/Component Unit	Establishment Date	Purpose of Subsidiary/Component Unit
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Request Delete Subsidiaries/Component Units

Name of Subsidiary/Component Unit	Termination Date	Reason for Termination	Proof of Termination Document Name
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Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Summary Financial Information
SUMMARY STATEMENT OF NET ASSETS

			Amount
Assets			
Current Assets			
	Cash and cash equivalents		\$10,464,125.00
	Investments		\$0.00
	Receivables, net		\$729,448.00
	Other assets		\$62,567.00
	Total Current Assets		\$11,256,140.00
Noncurrent Assets			
	Restricted cash and investments		\$7,550,372.00
	Long-term receivables, net		\$38,748,587.00
	Other assets		\$0.00
	Capital Assets		
		Land and other nondepreciable property	\$0.00
		Buildings and equipment	\$30,750.00
		Infrastructure	\$0.00
		Accumulated depreciation	\$0.00
		Net Capital Assets	\$30,750.00
	Total Noncurrent Assets		\$46,329,709.00
Total Assets			\$57,585,849.00
Liabilities			
Current Liabilities			
	Accounts payable		\$874,529.00
	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Accrued liabilities		\$0.00
	Deferred revenues		\$0.00
	Bonds and notes payable		\$1,744,851.00
	Other long-term obligations due within one year		\$0.00
	Total Current Liabilities		\$2,619,380.00
Noncurrent Liabilities			

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	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Bonds and notes payable		\$20,000,000.00
	Long Term Leases		\$0.00
	Other long-term obligations		\$842,234.00
	Total Noncurrent Liabilities		\$20,842,234.00
Total Liabilities			\$23,461,614.00
Net Asset (Deficit)			
Net Assets			
	Invested in capital assets, net of related debt		\$0.00
	Restricted		\$32,413,336.00
	Unrestricted		\$1,710,899.00
	Total Net Assets		\$34,124,235.00

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

			Amount
Operating Revenues			
	Charges for services		\$0.00
	Rental & financing income		\$4,500,549.00
	Other operating revenues		\$0.00
	Total Operating Revenue		\$4,500,549.00
Operating Expenses			
	Salaries and wages		\$2,021,580.00
	Other employee benefits		\$517,972.00
	Professional services contracts		\$597,743.00
	Supplies and materials		\$8,850.00
	Depreciation & amortization		\$51,445.00
	Other operating expenses		\$350,775.00
	Total Operating Expenses		\$3,548,365.00
Operating Income (Loss)			\$952,184.00
Nonoperating Revenues			
	Investment earnings		\$63,613.00
	State subsidies/grants		\$121,058.00
	Federal subsidies/grants		\$47,916.00
	Municipal subsidies/grants		\$769,998.00
	Public authority subsidies		\$0.00

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Fiscal Year Ending: 06/30/2019

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	Other nonoperating revenues		\$307,557.00
	Total Nonoperating Revenue		\$1,310,142.00
Nonoperating Expenses			
	Interest and other financing charges		\$954,275.00
	Subsidies to other public authorities		\$0.00
	Grants and donations		\$0.00
	Other nonoperating expenses		\$4,442,362.00
	Total Nonoperating Expenses		\$5,396,637.00
	Income (Loss) Before Contributions		(\$3,134,311.00)
Capital Contributions			\$0.00
Change in net assets			(\$3,134,311.00)
Net assets (deficit) beginning of year			\$37,258,546.00
Other net assets changes			\$0.00
Net assets (deficit) at end of year			\$34,124,235.00

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Current Debt

Question		Response
1.	Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2.	If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

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Schedule of Authority Debt

Type of Debt			Statutory Authorization(\$)	Outstanding Start of Fiscal Year(\$)	New Debt Issuances(\$)	Debt Retired (\$)	Outstanding End of Fiscal Year(\$)
State Obligation	State Guaranteed						
State Obligation	State Supported						
State Obligation	State Contingent Obligation						
State Obligation	State Moral Obligation						
Other State-Funded	Other State-Funded						
Authority Debt - General Obligation	Authority Debt - General Obligation						
Authority Debt - Revenue	Authority Debt - Revenue						
Authority Debt - Other	Authority Debt - Other		0.00	9,220,000.00	0.00	0.00	9,220,000.00
Conduit		Conduit Debt					
Conduit		Conduit Debt - Pilot Increment Financing					
TOTALS			0.00	9,220,000.00	0.00	0.00	9,220,000.00

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Property Documents

Question		Response	URL (If Applicable)
1.	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	https://www.nyceec.com/regulatory-and-financial-matters/
2.	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	https://www.nyceec.com/regulatory-and-financial-matters/
3.	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	N/A

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Loan Information

Source of Loan Funds	Federal	Original Amount of Loan	\$600,000.00
Name of Loan Recipient	11 W. 126th Street LLC	Date Loan Awarded	11/21/2014
Address Line1	11 W. 126th St.	Interest Rate (%)	6.25
Address Line2		Length of Loan(# of years to repay)	1
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10022	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$2,800,000.00
Name of Loan Recipient	125 Maiden Lane II	Date Loan Awarded	7/22/2014
Address Line1	125 Maiden Lane	Interest Rate (%)	6.6
Address Line2		Length of Loan(# of years to repay)	10
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$685,098.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10038	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Other	Original Amount of Loan	\$249,280.00
Name of Loan Recipient	1860 Morris Associates	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$238,480.00
Name of Loan Recipient	1915 Morris Avenue Corp.	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$12,988.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Other	Original Amount of Loan	\$205,000.00
Name of Loan Recipient	214 East 178 Corporation	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	7
City	BRONX	Amount of Loan Principal Repaid to Date	\$6,834.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$137,500.00
Name of Loan Recipient	2166 Bronx Park East Owners, Inc.	Date Loan Awarded	11/1/2017
Address Line1	2166 Bronx Park East	Interest Rate (%)	4.5
Address Line2		Length of Loan(# of years to repay)	10
City	BRONX	Amount of Loan Principal Repaid to Date	\$5,476.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Private	Original Amount of Loan	\$140,000.00
Name of Loan Recipient	2244 Morris Avenue LLC	Date Loan Awarded	6/9/2014
Address Line1	c/o Marc Kurman and Jonathan Coren 1894 Eastchester Road, Suite 203	Interest Rate (%)	6.25
Address Line2		Length of Loan(# of years to repay)	5
City	BRONX	Amount of Loan Principal Repaid to Date	\$112,444.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10461	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Not for Profit	Original Amount of Loan	\$69,720.00
Name of Loan Recipient	2260 Parkview Owners Corp.	Date Loan Awarded	11/1/2016
Address Line1	2260 Bronx Park East	Interest Rate (%)	6.5
Address Line2		Length of Loan(# of years to repay)	10
City	BRONX	Amount of Loan Principal Repaid to Date	\$6,508.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10463	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Federal	Original Amount of Loan	\$62,000.00
Name of Loan Recipient	2530 Foster Partners LLC	Date Loan Awarded	5/19/2015
Address Line1	2530 Foster Ave.	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	5
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$45,336.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11210	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Private	Original Amount of Loan	\$400,000.00
Name of Loan Recipient	321 West 90th Street Owners Corp.	Date Loan Awarded	12/30/2013
Address Line1	c/o Alexander Wolf & Co. Inc	Interest Rate (%)	6
Address Line2	1 Dupont Street	Length of Loan(# of years to repay)	5
City	PLAINVIEW	Amount of Loan Principal Repaid to Date	\$317,433.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	11803	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Private	Original Amount of Loan	\$156,000.00
Name of Loan Recipient	3214 LLC.	Date Loan Awarded	4/9/2014
Address Line1	3411 Kingsbridge Avenue	Interest Rate (%)	6.75
Address Line2		Length of Loan(# of years to repay)	5
City	BRONX	Amount of Loan Principal Repaid to Date	\$149,384.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10463	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Other	Original Amount of Loan	\$707,596.00
Name of Loan Recipient	401 E. 74 Owners Corp.	Date Loan Awarded	3/13/2018
Address Line1	401 East 74th Street	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	6
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Private	Original Amount of Loan	\$79,500.00
Name of Loan Recipient	575 E. 137th Street Real Estate Inc.	Date Loan Awarded	12/1/2013
Address Line1	102 Primrose Drive	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	5
City	NEW HYDE PARK	Amount of Loan Principal Repaid to Date	\$24,000.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11040	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$50,422.00
Name of Loan Recipient	622 VCA LLC	Date Loan Awarded	4/1/2016
Address Line1	Project: 622 Van Cortland Park	Interest Rate (%)	4.5
Address Line2	622 Van Cortland Park Ave.	Length of Loan(# of years to repay)	5
City	YONKERS	Amount of Loan Principal Repaid to Date	\$27,309.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10705	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Federal	Original Amount of Loan	\$202,118.00
Name of Loan Recipient	780 East 132nd St. Co. LLO	Date Loan Awarded	7/2/2014
Address Line1	c/o Benenson Capital Partners	Interest Rate (%)	6.75
Address Line2	708 Third Avenue,28th Floor	Length of Loan(# of years to repay)	5
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$202,118.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10017	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Other	Original Amount of Loan	\$179,575.00
Name of Loan Recipient	935 Ogden Ave. Corp.	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	7
City	BRONX	Amount of Loan Principal Repaid to Date	\$3,946.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Other	Original Amount of Loan	\$266,985.00
Name of Loan Recipient	Anthony Equities, Ltd. (for 1818 Anthony)	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$13,976.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$209,680.00
Name of Loan Recipient	Anthony Equities, Ltd. (for 2180 Anthony)	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$8,674.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Federal	Original Amount of Loan	\$75,568.00
Name of Loan Recipient	Board of Managers of Ariel West Condominium	Date Loan Awarded	1/25/2019
Address Line1	C/O Akam Associates, Inc.	Interest Rate (%)	6.75
Address Line2	260 Madison Avenue, 12th Fl.	Length of Loan(# of years to repay)	4
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$1,376.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$6,750,000.00
Name of Loan Recipient	Board of Managers of Cipriani Club Residences at 55 Wall Condominium	Date Loan Awarded	6/20/2016
Address Line1	55 Wall Street	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	8
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10004	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Municipal	Original Amount of Loan	\$107,537.00
Name of Loan Recipient	Board of Managers of Edge 11211 Condominium	Date Loan Awarded	10/17/2018
Address Line1	C/O AKAM Associates, Inc.	Interest Rate (%)	6.5
Address Line2	260 Madison Avenue, 12th Fl.	Length of Loan(# of years to repay)	3
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$13,441.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Private	Original Amount of Loan	\$130,000.00
Name of Loan Recipient	Boro Park Village	Date Loan Awarded	5/15/2015
Address Line1	PO Box 191094	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	6
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$57,953.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11219	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Federal	Original Amount of Loan	\$6,300,000.00
Name of Loan Recipient	Cubit Power One, Inc.	Date Loan Awarded	12/23/2014
Address Line1	4352 Victory Blvd	Interest Rate (%)	10
Address Line2		Length of Loan(# of years to repay)	12
City	STATEN ISLAND	Amount of Loan Principal Repaid to Date	\$564,915.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10314	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$2,385,563.00
Name of Loan Recipient	EcoSave Services, LLC (Constitution Health Plaza)	Date Loan Awarded	10/31/2017
Address Line1	The Navy Yard	Interest Rate (%)	6.75
Address Line2	4000 South 26th Street, Suite 100	Length of Loan(# of years to repay)	8
City	PHILADELPHIA	Amount of Loan Principal Repaid to Date	\$63,719.00
State	PA	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	19112	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Other	Original Amount of Loan	\$2,411,044.00
Name of Loan Recipient	EcoSave Services, LLC (Sheraton Baltimore)	Date Loan Awarded	12/29/2017
Address Line1	The Navy Yard	Interest Rate (%)	6.75
Address Line2	4000 South 26th Street, Suite 100	Length of Loan(# of years to repay)	8
City	PHILADELPHIA	Amount of Loan Principal Repaid to Date	\$52,190.00
State	PA	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	19112	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$819,433.00
Name of Loan Recipient	EcoSave Services, LLC (Sheraton Philadelphia)	Date Loan Awarded	12/29/2017
Address Line1	The Navy Yard	Interest Rate (%)	6.75
Address Line2	4000 South 26th Street, Suite 100	Length of Loan(# of years to repay)	8
City	PHILADELPHIA	Amount of Loan Principal Repaid to Date	\$31,409.00
State	PA	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	19112	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Other	Original Amount of Loan	\$2,465,878.00
Name of Loan Recipient	EcoSave Services, LLC (Hebrew Home)	Date Loan Awarded	1/18/2018
Address Line1	The Navy Yard	Interest Rate (%)	6.25
Address Line2	4000 South 26th Street, Suite 100	Length of Loan(# of years to repay)	8
City	PHILADELPHIA	Amount of Loan Principal Repaid to Date	\$60,904.00
State	PA	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	19112	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$1,595,000.00
Name of Loan Recipient	Ecosave Services, LLC	Date Loan Awarded	3/31/2017
Address Line1	Project: Valley Forge Casino	Interest Rate (%)	6.5
Address Line2	1160 1st Ave	Length of Loan(# of years to repay)	12
City	CHESTER	Amount of Loan Principal Repaid to Date	\$146,362.00
State	PA	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	19013	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Municipal	Original Amount of Loan	\$790,036.00
Name of Loan Recipient	Ecosave Services, LLC (Hebrew Home II)	Date Loan Awarded	9/27/2018
Address Line1	The Navy Yard, 400 South 26th Street, Suite 100	Interest Rate (%)	6.76
Address Line2		Length of Loan(# of years to repay)	7
City	PHILADELPHIA	Amount of Loan Principal Repaid to Date	\$0.00
State	PA	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	19112	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$1,839,410.00
Name of Loan Recipient	Eli and Meriam Karp	Date Loan Awarded	9/9/2015
Address Line1	1335 47th Street	Interest Rate (%)	7.5
Address Line2		Length of Loan(# of years to repay)	1
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$1,774,334.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11219	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Not for Profit	Original Amount of Loan	\$181,582.00
Name of Loan Recipient	Elmo Homes, Inc.	Date Loan Awarded	11/1/2016
Address Line1	728 41st St.	Interest Rate (%)	5.5
Address Line2		Length of Loan(# of years to repay)	8
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$51,100.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11232	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Private	Original Amount of Loan	\$86,280.00
Name of Loan Recipient	Fairfax Owners Corporation	Date Loan Awarded	10/1/2015
Address Line1	Project: 110-15 71st Road	Interest Rate (%)	7
Address Line2	110-15 71st Road	Length of Loan(# of years to repay)	4
City	FOREST HILLS	Amount of Loan Principal Repaid to Date	\$67,005.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11375	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Federal	Original Amount of Loan	\$5,000,000.00
Name of Loan Recipient	Greenwood Millennium Broadway LLC.	Date Loan Awarded	3/26/2013
Address Line1	134 East 40th Street	Interest Rate (%)	8.5
Address Line2		Length of Loan(# of years to repay)	1
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$1,253,292.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$2,400,000.00
Name of Loan Recipient	Greenwood Millennium Hilton LLC.	Date Loan Awarded	12/6/2012
Address Line1	134 East 40th Street	Interest Rate (%)	7.75
Address Line2		Length of Loan(# of years to repay)	9
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$1,324,866.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Other	Original Amount of Loan	\$193,071.00
Name of Loan Recipient	Hillside Association, Inc.	Date Loan Awarded	7/5/2017
Address Line1	566 44th St.	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	9
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$178,417.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11220	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Private	Original Amount of Loan	\$153,500.00
Name of Loan Recipient	Kreatsoulas Family LP	Date Loan Awarded	4/9/2014
Address Line1	3411 Kingsbridge Avenue	Interest Rate (%)	6.75
Address Line2		Length of Loan(# of years to repay)	5
City	BRONX	Amount of Loan Principal Repaid to Date	\$146,990.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10463	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Private	Original Amount of Loan	\$80,000.00
Name of Loan Recipient	Natasha Harsh	Date Loan Awarded	11/1/2013
Address Line1	2 Spencer Place	Interest Rate (%)	5
Address Line2	Apt.2	Length of Loan(# of years to repay)	5
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$56,895.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11216	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Private	Original Amount of Loan	\$350,000.00
Name of Loan Recipient	River Arts Apartments, Inc.	Date Loan Awarded	9/25/2014
Address Line1	159-00 Riverside Drive West	Interest Rate (%)	6.25
Address Line2		Length of Loan(# of years to repay)	6
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$208,849.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10032	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Not for Profit	Original Amount of Loan	\$420,516.00
Name of Loan Recipient	SFG Asset Holdco LLC	Date Loan Awarded	9/26/2018
Address Line1	265 Upper Gulph Road	Interest Rate (%)	7.5
Address Line2		Length of Loan(# of years to repay)	11
City	RADNOR	Amount of Loan Principal Repaid to Date	\$0.00
State	PA	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	19087	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Not for Profit	Original Amount of Loan	\$142,187.00
Name of Loan Recipient	The Bay View Home Association, Inc.	Date Loan Awarded	5/1/2017
Address Line1	671 47th Street	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	7
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$142,187.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11220	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Source of Loan Funds	Federal	Original Amount of Loan	\$4,500,000.00
Name of Loan Recipient	Urban Greenfit SPV, LLC.	Date Loan Awarded	11/20/2012
Address Line1	525 Main Street	Interest Rate (%)	4.5
Address Line2		Length of Loan(# of years to repay)	9
City	ROOSEVELT ISLAND	Amount of Loan Principal Repaid to Date	\$4,256,414.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10044	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$215,380.00
Name of Loan Recipient	Zeisser Realty, LLC	Date Loan Awarded	5/1/2015
Address Line1	153-175 Father Zeiser Place	Interest Rate (%)	6.75
Address Line2		Length of Loan(# of years to repay)	5
City	BRONX	Amount of Loan Principal Repaid to Date	\$171,219.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10468	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2019

Run Date: 08/25/2020

Status: CERTIFIED

Certified Date: 08/25/2020

Additional Comments