

Annual Report for New York City Energy Efficiency Corporation

Fiscal Year Ending: 06/30/2023

Run Date: 02/01/2024

Status: CERTIFIED

Certified Date: 02/01/2024

Governance Information (Authority-Related)

Question		Response	URL (if Applicable)
1.	Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	https://nyceec.com/regulatory-and-financial-matters/
2.	As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	https://nyceec.com/regulatory-and-financial-matters/
3.	Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4.	Does the independent auditor provide non-audit services to the Authority?	No	N/A
5.	Does the Authority have an organization chart?	Yes	https://nyceec.com/regulatory-and-financial-matters/
6.	Are any Authority staff also employed by another government agency?	No	
7.	Has the Authority posted their mission statement to their website?	Yes	https://nyceec.com/regulatory-and-financial-matters/
8.	Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9.	Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		https://nyceec.com/regulatory-and-financial-matters/

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Governance Information (Board-Related)

Question	Response	URL (If Applicable)
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		https://nyceec.com/regulatory-and-financial-matters/
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		https://nyceec.com/regulatory-and-financial-matters/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	https://nyceec.com/regulatory-and-financial-matters/
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	https://nyceec.com/regulatory-and-financial-matters/
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	https://nyceec.com/regulatory-and-financial-matters/

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Board of Directors Listing

Name	Brodsky, Jeff	Nominated By	Other
Chair of the Board	Yes	Appointed By	Other
If yes, Chair Designated by	Elected by Board	Confirmed by Senate?	N/A
Term Start Date	10/27/2021	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2024	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Chavez, Joseph	Nominated By	Local
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	4/25/2023	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	Ex-Officio	Complied with Training Requirement of Section 2824?	Yes
Title	Desig. Mayor's Office of Climate & Envir. Justice	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?	No	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	Yes

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Name	Darga, Kim	Nominated By	Local
Chair of the Board	No	Appointed By	Local
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	12/13/2018	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	Ex-Officio	Complied with Training Requirement of Section 2824?	No
Title	Ex-Officio Director	Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?	No	Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	Yes
Designee Name		Ex-Officio	Yes

Name	Gupta, Ashok	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/26/2022	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2025	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Hale, Greg	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/26/2022	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2025	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	Yes
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Khouzami, Marc	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/28/2020	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2023	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Leeds, Susan	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/27/2021	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2024	Complied with Training Requirement of Section 2824?	Yes
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	McKeown, Sadie	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/26/2022	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2025	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Paige, Renwick	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/27/2021	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2024	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Rice, John	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	6/15/2022	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	10/29/2025	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Name	Schlein, Bruce	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/28/2020	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2023	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Vacant	Nominated By	Other
Chair of the Board		Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	
Term Start Date		Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	
Term Expiration Date		Complied with Training Requirement of Section 2824?	
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	
Designee Name		Ex-Officio	

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Name	Zeldin, Elizabeth	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	10/28/2020	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	No
Term Expiration Date	10/31/2023	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

Name	Zuluaga, Marc	Nominated By	Other
Chair of the Board	No	Appointed By	Other
If yes, Chair Designated by		Confirmed by Senate?	N/A
Term Start Date	6/15/2022	Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty?	Yes
Term Expiration Date	10/29/2025	Complied with Training Requirement of Section 2824?	No
Title		Does the Board Member/Designee also Hold an Elected or Appointed State Government Position?	No
Has the Board Member Appointed a Designee?		Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position?	No
Designee Name		Ex-Officio	

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Staff Listing

Name	Title	Group	Department/ Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensati on/Allowanc es/Adjustme nts	Total Compensati on paid by Authority	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local governme nt
Bon throne, Maxwell	Intern	Professional				PT	No	\$32,240.00	\$6,144.00	\$0.00	\$0.00	\$0.00	\$0.00	\$6,144.00	No	
Buttram, Matthew	Director	Professional				FT	No	\$155,000.00	\$8,942.00	\$0.00	\$0.00	\$0.00	\$0.00	\$8,942.00	No	
Dias, Erangi	Director of Business Development	Professional	Business Development			FT	No	\$156,000.00	\$156,323.00	\$0.00	\$15,000.00	\$4,000.00	\$0.00	\$175,323.00	No	
Haser, Andrew	Associate	Professional				FT	No	\$94,000.00	\$86,769.00	\$0.00	\$0.00	\$0.00	\$0.00	\$86,769.00	No	
Kalisch, Mayra	Executive Assistant	Administrative and Clerical				FT	No	\$92,000.00	\$91,816.00	\$0.00	\$8,720.00	\$4,000.00	\$0.00	\$104,536.00	No	
Kerstetter, Michael	General Counsel	Professional	Legal			FT	No	\$175,000.00	\$175,038.00	\$0.00	\$15,650.00	\$4,000.00	\$0.00	\$194,688.00	No	
LaJoie, Naeemah	Director, Operations	Managerial	Operations			FT	No	\$166,500.00	\$166,680.00	\$0.00	\$16,025.00	\$0.00	\$0.00	\$182,705.00	No	
Madden- Peister, Kyle	Associate, Legal	Professional				FT	No	\$110,000.00	\$109,423.00	\$0.00	\$9,500.00	\$0.00	\$0.00	\$118,923.00	No	
Merves, Jay	Director, Business Development and Finance	Executive	Business Development			FT	No	\$205,000.00	\$204,809.00	\$0.00	\$19,927.00	\$0.00	\$0.00	\$224,736.00	No	
Nicaise, Laura	Associate	Professional				FT	No	\$80,000.00	\$79,692.00	\$0.00	\$6,000.00	\$0.00	\$0.00	\$85,692.00	No	
O'Donnell, Patrick	Director of Engineering	Technical and Engineering	Engineering			FT	No	\$147,700.00	\$131,112.00	\$0.00	\$14,200.00	\$4,000.00	\$0.00	\$149,312.00	No	
Probst, Curtis	Chief Executive Officer	Executive				FT	No	\$250,000.00	\$199,867.00	\$0.00	\$19,655.00	\$0.00	\$0.00	\$219,522.00	No	
Rosa, Megan	Associate	Professional				FT	No	\$90,000.00	\$89,692.00	\$0.00	\$6,833.00	\$0.00	\$0.00	\$96,525.00	No	
Rowland, Lainie	Associate	Professional				FT	No	\$90,000.00	\$90,099.00	\$0.00	\$2,250.00	\$0.00	\$0.00	\$92,349.00	No	
Weber, Marian	Director	Professional				FT	No	\$156,000.00	\$129,045.00	\$0.00	\$8,750.00	\$3,539.00	\$0.00	\$141,334.00	No	

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Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated With the Authority after those individuals left the Authority?	No
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Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Brodsky, Jeff	Board of Directors												X	
Chavez, Joseph	Board of Directors												X	
Darga, Kim	Board of Directors												X	
Gupta, Ashok	Board of Directors												X	
Hale, Greg	Board of Directors												X	
Khouzami, Marc	Board of Directors												X	
Leeds, Susan	Board of Directors												X	
McKeown, Sadie	Board of Directors												X	
Paige, Renwick	Board of Directors												X	
Rice, John	Board of Directors												X	
Schlein, Bruce	Board of Directors												X	
Vacant	Board of Directors												X	
Zeldin, Elizabeth	Board of Directors												X	
Zuluaga, Marc	Board of Directors												X	

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Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of these Benefits	Other
Buttram, Matthew	Director				X									
Dias, Erangi	Director of Business Development				X									
Kerstetter, Michael	General Counsel				X									
LaJoi, Naeemah	Director, Operations				X									
Madden-Peister, Kyle	Associate, Legal				X									
Merves, Jay	Director, Business Development and Finance				X									
O'Donnell, Patrick	Director of Engineering				X									
Probst, Curtis	Chief Executive Officer				X									
Weber, Marian	Director				X									

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Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct?	Yes
Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS?	No

Name of Subsidiary/Component Unit	Status
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Request Subsidiary/Component Unit Change

Name of Subsidiary/Component Unit	Status	Requested Changes
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Request Add Subsidiaries/Component Units

Name of Subsidiary/Component Unit	Establishment Date	Purpose of Subsidiary/Component Unit
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Request Delete Subsidiaries/Component Units

Name of Subsidiary/Component Unit	Termination Date	Reason for Termination	Proof of Termination Document Name
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Summary Financial Information
SUMMARY STATEMENT OF NET ASSETS

			Amount
Assets			
Current Assets			
	Cash and cash equivalents		\$10,197,869.00
	Investments		\$3,370,213.00
	Receivables, net		\$8,982,744.00
	Other assets		\$143,850.00
	Total current assets		\$22,694,676.00
Noncurrent Assets			
	Restricted cash and investments		\$4,247,657.00
	Long-term receivables, net		\$16,649,728.00
	Other assets		\$0.00
	Capital Assets		
		Land and other nondepreciable property	\$0.00
		Buildings and equipment	\$4,711.00
		Infrastructure	\$654,964.00
		Accumulated depreciation	\$0.00
		Net Capital Assets	\$659,675.00
	Total noncurrent assets		\$21,557,060.00
Total assets			\$44,251,736.00
Liabilities			
Current Liabilities			
	Accounts payable		\$1,008,511.00
	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Accrued liabilities		\$0.00
	Deferred revenues		\$0.00
	Bonds and notes payable		\$0.00
	Other long-term obligations due within one year		\$154,937.00
	Total current liabilities		\$1,163,448.00
Noncurrent Liabilities			

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	Pension contribution payable		\$0.00
	Other post-employment benefits		\$0.00
	Bonds and notes payable		\$13,311,790.00
	Long term leases		\$585,062.00
	Other long-term obligations		\$124,378.00
	Total noncurrent liabilities		\$14,021,230.00
Total liabilities			\$15,184,678.00
Net Asset (Deficit)			
Net Assets			
	Invested in capital assets, net of related debt		\$0.00
	Restricted		\$3,304,318.00
	Unrestricted		\$25,762,740.00
	Total net assets		\$29,067,058.00

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

			Amount
Operating Revenues			
	Charges for services		\$0.00
	Rental and financing income		\$1,979,379.00
	Other operating revenues		\$0.00
	Total operating revenue		\$1,979,379.00
Operating Expenses			
	Salaries and wages		\$1,932,498.00
	Other employee benefits		\$413,698.00
	Professional services contracts		\$573,972.00
	Supplies and materials		\$11,685.00
	Depreciation and amortization		\$2,827.00
	Other operating expenses		\$326,797.00
	Total operating expenses		\$3,261,477.00
Operating income (loss)			(\$1,282,098.00)
Nonoperating Revenues			
	Investment earnings		\$149,497.00
	State subsidies/grants		\$222,728.80
	Federal subsidies/grants		\$0.00
	Municipal subsidies/grants		\$970,126.20
	Public authority subsidies		\$0.00

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	Other nonoperating revenues		\$1,571,175.00
	Total nonoperating revenue		\$2,913,527.00
Nonoperating Expenses			
	Interest and other financing charges		\$581,034.00
	Subsidies to other public authorities		\$0.00
	Grants and donations		\$0.00
	Other nonoperating expenses		\$2,256,301.00
	Total nonoperating expenses		\$2,837,335.00
	Income (loss) before contributions		(\$1,205,906.00)
Capital contributions			\$0.00
Change in net assets			(\$1,205,906.00)
Net assets (deficit) beginning of year			\$30,272,964.00
Other net assets changes			\$0.00
Net assets (deficit) at end of year			\$29,067,058.00

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Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances

Type of Debt: Authority Debt - Other

Program :

Project	Amounts	Bond Closing Date	Issue Process	True Interest Cost	Interest type	Term (Yrs)	Cost Of Issuance
Deutsche Bank Revolving Loan Facility	Refunding \$0.00	2/15/2024	Negotiated	7.6%	Variable	2	\$5,000,000.00
	New \$5,000,000.00						
	Total \$5,000,000.00						
NY Green Bank (multi-draw loan facility)	Refunding \$0.00	6/5/2023	Negotiated	1.5%	Fixed	8	\$0.00
	New \$5,000,000.00						
	Total \$5,000,000.00						

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Schedule of Authority Debt

Type of Debt			Statutory Authorization(\$)	Outstanding Start of Fiscal Year(\$)	New Debt Issuances(\$)	Debt Retired (\$)	Outstanding End of Fiscal Year(\$)
State Obligation	State Guaranteed						
State Obligation	State Supported						
State Obligation	State Contingent Obligation						
State Obligation	State Moral Obligation						
Other State-Funded	Other State-Funded						
Authority Debt - General Obligation	Authority Debt - General Obligation						
Authority Debt - Revenue	Authority Debt - Revenue						
Authority Debt - Other	Authority Debt - Other		0.00	15,000,000.00	10,000,000.00	7,500,000.00	17,500,000.00
Conduit		Conduit Debt					
Conduit		Conduit Debt - Pilot Increment Financing					
TOTALS			0.00	15,000,000.00	10,000,000.00	7,500,000.00	17,500,000.00

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Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

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Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

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Property Documents

Question		Response	URL (If Applicable)
1.	In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	https://nyceec.com/regulatory-and-financial-matters/
2.	Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	https://nyceec.com/regulatory-and-financial-matters/
3.	In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	N/A

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Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

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Loan Information

Source of Loan Funds	Federal	Original Amount of Loan	\$2,600,000.00
Name of Loan Recipient	11 W. 126th Street LLC	Date Loan Awarded	11/21/2014
Address Line1	11 W. 126th St.	Interest Rate (%)	6.25
Address Line2		Length of Loan(# of years to repay)	1
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10022	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$2,900,000.00
Name of Loan Recipient	11 WEST 126TH HOLDINGS LLC (Building Loan)	Date Loan Awarded	8/31/2022
Address Line1	11 West 126th Street	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	1
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10027	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$2,500,000.00
Name of Loan Recipient	11 WEST 126TH HOLDINGS LLC (Project Loan)	Date Loan Awarded	8/31/2022
Address Line1	11 West 126th Street	Interest Rate (%)	9
Address Line2		Length of Loan(# of years to repay)	2
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$106,575.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10027	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$40,000.00
Name of Loan Recipient	1104 Clay Avenue Housing Development Fund Corporation	Date Loan Awarded	6/28/2021
Address Line1	600 North Broadway Suite 220	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	3
City	WHITE PLAINS	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10603	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$40,000.00
Name of Loan Recipient	1104 Clay Avenue Housing Development Fund Corporation	Date Loan Awarded	6/28/2021
Address Line1	1104 Clay Avenue	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	3
City	BRONX	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10456	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$2,800,000.00
Name of Loan Recipient	125 Maiden Lane II	Date Loan Awarded	7/22/2014
Address Line1	125 Maiden Lane	Interest Rate (%)	6.6
Address Line2		Length of Loan(# of years to repay)	10
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$1,839,952.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10038	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$2,400,000.00
Name of Loan Recipient	136-140 Croton Avenue LLC	Date Loan Awarded	6/1/2021
Address Line1	438 Fifth Avenue, Suite 100	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	1
City	PELHAM	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10803	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$249,280.00
Name of Loan Recipient	1860 Morris Associates	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$174,588.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Other	Original Amount of Loan	\$238,480.00
Name of Loan Recipient	1915 Morris Avenue Corp.	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$193,855.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$205,000.00
Name of Loan Recipient	214 East 178 Corporation	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	7
City	BRONX	Amount of Loan Principal Repaid to Date	\$133,371.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Other	Original Amount of Loan	\$137,500.00
Name of Loan Recipient	2166 Bronx Park East Owners, Inc.	Date Loan Awarded	11/1/2017
Address Line1	2166 Bronx Park East	Interest Rate (%)	4.5
Address Line2		Length of Loan(# of years to repay)	10
City	BRONX	Amount of Loan Principal Repaid to Date	\$51,895.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Not for Profit	Original Amount of Loan	\$69,720.00
Name of Loan Recipient	2260 Parkview Owners Corp.	Date Loan Awarded	11/1/2016
Address Line1	2260 Bronx Park East	Interest Rate (%)	6.5
Address Line2		Length of Loan(# of years to repay)	10
City	BRONX	Amount of Loan Principal Repaid to Date	\$32,779.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10463	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Private	Original Amount of Loan	\$40,000.00
Name of Loan Recipient	254 East 184th Street Housing Development Fund Corporation	Date Loan Awarded	9/13/2019
Address Line1	254 East 184th Street	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	2
City	BRONX	Amount of Loan Principal Repaid to Date	\$40,000.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10458	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Private	Original Amount of Loan	\$40,000.00
Name of Loan Recipient	319 Chauncey Street L.L.C.	Date Loan Awarded	6/1/2018
Address Line1	84 Decatur Street	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	2
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	11216	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Other	Original Amount of Loan	\$707,596.00
Name of Loan Recipient	401 E. 74 Owners Corp.	Date Loan Awarded	3/13/2018
Address Line1	401 East 74th Street	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	6
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$609,281.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$3,920,000.00
Name of Loan Recipient	636 SOUTH BROADWAY PARTNERS LLC (The Parker)	Date Loan Awarded	10/31/2022
Address Line1	632-636 South Broadway	Interest Rate (%)	6.5
Address Line2		Length of Loan(# of years to repay)	3
City	YONKERS	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10705	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$120,000.00
Name of Loan Recipient	90 Sterling Place Owners Corp.	Date Loan Awarded	5/12/2023
Address Line1	90 Sterling Place	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	10
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$6,026.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11217	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$179,575.00
Name of Loan Recipient	935 Ogden Ave. Corp.	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	7
City	BRONX	Amount of Loan Principal Repaid to Date	\$113,245.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Other	Original Amount of Loan	\$266,985.00
Name of Loan Recipient	Anthony Equities, Ltd. (for 1818 Anthony)	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$208,325.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$209,680.00
Name of Loan Recipient	Anthony Equities, Ltd. (for 2180 Anthony)	Date Loan Awarded	6/12/2018
Address Line1	c/o Annal Management Co., Ltd.	Interest Rate (%)	6.75
Address Line2	1325 Castle Hill Avenue	Length of Loan(# of years to repay)	6
City	BRONX	Amount of Loan Principal Repaid to Date	\$169,016.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10462	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$235,000.00
Name of Loan Recipient	BOARD OF MANAGERS OF 430 CLINTON AVENUE CONDOS	Date Loan Awarded	10/13/2022
Address Line1	430 Clinton Avenue	Interest Rate (%)	6.5
Address Line2		Length of Loan(# of years to repay)	10
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11238	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$750,000.00
Name of Loan Recipient	BUILDING EFFICIENCY SERVICES CORP	Date Loan Awarded	11/30/2022
Address Line1	148 Doughty Blvd., Suite 312C	Interest Rate (%)	8
Address Line2		Length of Loan(# of years to repay)	2
City	INWOOD	Amount of Loan Principal Repaid to Date	\$750,000.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11096	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$75,568.00
Name of Loan Recipient	Board of Managers of Ariel West Condominium	Date Loan Awarded	1/25/2019
Address Line1	C/O Akam Associates, Inc.	Interest Rate (%)	6.75
Address Line2	260 Madison Avenue, 12th Fl.	Length of Loan(# of years to repay)	4
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$75,568.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Federal	Original Amount of Loan	\$8,950,000.00
Name of Loan Recipient	Board of Managers of Cipriani Club Residences at 55 Wall Condominium	Date Loan Awarded	6/20/2016
Address Line1	55 Wall Street	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	8
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10004	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Private	Original Amount of Loan	\$130,000.00
Name of Loan Recipient	Boro Park Village	Date Loan Awarded	5/15/2015
Address Line1	PO Box 191094	Interest Rate (%)	7
Address Line2		Length of Loan(# of years to repay)	6
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$130,000.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11219	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Other	Original Amount of Loan	\$1,100,000.00
Name of Loan Recipient	Building Efficiency Services Corp.	Date Loan Awarded	12/2/2020
Address Line1	148 Doughty Blvd., Suite 312C	Interest Rate (%)	7.5
Address Line2		Length of Loan(# of years to repay)	3
City	INWOOD	Amount of Loan Principal Repaid to Date	\$1,100,000.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	11096	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

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Source of Loan Funds	Federal	Original Amount of Loan	\$237,000.00
Name of Loan Recipient	CASO HILLS REALTY, LLC (Bluestone Solar)	Date Loan Awarded	10/19/2022
Address Line1	75-23 113th St.	Interest Rate (%)	6.5
Address Line2	Queens	Length of Loan(# of years to repay)	11
City	FLUSHING	Amount of Loan Principal Repaid to Date	\$11.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11375	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$8,660,814.00
Name of Loan Recipient	Cubit Power One, Inc.	Date Loan Awarded	6/2/2017
Address Line1	4352 Victory Blvd	Interest Rate (%)	19
Address Line2		Length of Loan(# of years to repay)	12
City	STATEN ISLAND	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10314	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$7,731,000.00
Name of Loan Recipient	Cubit Power One, Inc.	Date Loan Awarded	12/23/2014
Address Line1	4352 Victory Blvd	Interest Rate (%)	10
Address Line2		Length of Loan(# of years to repay)	12
City	STATEN ISLAND	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10314	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$700,000.00
Name of Loan Recipient	DEXTALL, INC.	Date Loan Awarded	4/4/2023
Address Line1	300 East 75th St., Apt. 9N	Interest Rate (%)	7.5
Address Line2		Length of Loan(# of years to repay)	2
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10021	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Private	Original Amount of Loan	\$40,000.00
Name of Loan Recipient	Dave Daley	Date Loan Awarded	8/27/2018
Address Line1	742 Saratoga Avenue	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	2
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	11212	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Not for Profit	Original Amount of Loan	\$181,582.00
Name of Loan Recipient	Elmo Homes, Inc.	Date Loan Awarded	11/1/2016
Address Line1	728 41st St.	Interest Rate (%)	5.5
Address Line2		Length of Loan(# of years to repay)	8
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$143,490.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11232	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$1,000,000.00
Name of Loan Recipient	FIRST WOMEN'S DEVELOPMENT CORP.	Date Loan Awarded	8/6/2020
Address Line1	C/O Settlement Housing Fund	Interest Rate (%)	6
Address Line2	247 West 37th Street, 4th Floor	Length of Loan(# of years to repay)	2
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$1,000,000.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10018	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

Source of Loan Funds	Other	Original Amount of Loan	\$1,320,000.00
Name of Loan Recipient	GPH Shelter Manager LLC	Date Loan Awarded	3/5/2021
Address Line1	826 Broadway, 11th Floor	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	2
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$1,320,000.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10003	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

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Source of Loan Funds	Federal	Original Amount of Loan	\$5,459,798.00
Name of Loan Recipient	GSI ENERGY MILLENNIUM BROADWAY LLC and GSI ENERGY MILLENNIUM HILTON LLC	Date Loan Awarded	9/1/2021
Address Line1	c/o Libra Capital US, Inc.	Interest Rate (%)	6.75
Address Line2	134 East 40th Street	Length of Loan(# of years to repay)	5
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$2,281,611.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10016	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Other	Original Amount of Loan	\$60,000.00
Name of Loan Recipient	Hemlock Realty Corp.	Date Loan Awarded	10/5/2020
Address Line1	10521 Flatlands 7th Street	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	3
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$12,817.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	11236	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$388,000.00
Name of Loan Recipient	JOE Solar LLC	Date Loan Awarded	11/25/2020
Address Line1	28 Liberty Street, 34th Floor	Interest Rate (%)	5.75
Address Line2		Length of Loan(# of years to repay)	17
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	10005	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$625,000.00
Name of Loan Recipient	KEUKA SOLAR VILLAGE, LLC	Date Loan Awarded	10/12/2021
Address Line1	212 Carter Rd.	Interest Rate (%)	5.75
Address Line2		Length of Loan(# of years to repay)	2
City	GENEVA	Amount of Loan Principal Repaid to Date	\$100,000.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	14456	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Not for Profit	Original Amount of Loan	\$529,704.00
Name of Loan Recipient	Kenmore Associates, L.P.	Date Loan Awarded	9/19/2019
Address Line1	143-47 E. 23rd Street	Interest Rate (%)	6.5
Address Line2		Length of Loan(# of years to repay)	7
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$177,668.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10010	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$5,619,000.00
Name of Loan Recipient	MASPETH MANAGER LLC	Date Loan Awarded	2/26/2021
Address Line1	826 Broadway, 11th Floor	Interest Rate (%)	6
Address Line2		Length of Loan(# of years to repay)	2
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10013	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Federal	Original Amount of Loan	\$950,000.00
Name of Loan Recipient	ORLO GAITHERSBURG INVESTORS, LLC,	Date Loan Awarded	1/13/2023
Address Line1	711 Groman Street, Suite A	Interest Rate (%)	6.9
Address Line2		Length of Loan(# of years to repay)	5
City	LAUREL	Amount of Loan Principal Repaid to Date	\$6,026.00
State	MD	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	20707	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Private	Original Amount of Loan	\$40,000.00
Name of Loan Recipient	Oral Rowe	Date Loan Awarded	4/15/2019
Address Line1	120 East 43rd Street	Interest Rate (%)	5
Address Line2		Length of Loan(# of years to repay)	2
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$40,000.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	11203	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	Yes

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Source of Loan Funds	Other	Original Amount of Loan	\$125,000.00
Name of Loan Recipient	Rock Property Management Company	Date Loan Awarded	12/16/2022
Address Line1	22 Maplehurst Drive	Interest Rate (%)	0
Address Line2		Length of Loan(# of years to repay)	3
City	PHOENIX	Amount of Loan Principal Repaid to Date	\$0.00
State	NY	Purpose of Loan	Residential Property Construction/Acquisition/Rehabilitation/Improvement
Postal Code	13135	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$684,000.00
Name of Loan Recipient	SL DC Solar Portfolio I, LLC	Date Loan Awarded	3/6/2020
Address Line1	135 East 37th Street, Floor 16	Interest Rate (%)	5.7
Address Line2		Length of Loan(# of years to repay)	8
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$342,593.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10022	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Source of Loan Funds	Other	Original Amount of Loan	\$450,000.00
Name of Loan Recipient	SL DC Solar Portfolio II, LLC	Date Loan Awarded	11/3/2020
Address Line1	135 East 57th St., Floor 16	Interest Rate (%)	5.25
Address Line2		Length of Loan(# of years to repay)	8
City	NEW YORK	Amount of Loan Principal Repaid to Date	\$170,568.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	10022	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

Source of Loan Funds	Federal	Original Amount of Loan	\$345,000.00
Name of Loan Recipient	Wright Brothers Solar LLC (Crescent Farms)	Date Loan Awarded	7/19/2022
Address Line1	262 Garfield Place	Interest Rate (%)	5.75
Address Line2		Length of Loan(# of years to repay)	14
City	BROOKLYN	Amount of Loan Principal Repaid to Date	\$6,256.00
State	NY	Purpose of Loan	Commercial Property Construction/Acquisition/Revitalization/Improvement
Postal Code	11215	Was the loan expected to result in new jobs being created?	No
Plus4		If Yes, how many jobs were planned to be created?	
Province/Region		If Yes, how many jobs have been created to date?	
Country	United States	Have the terms of the loan been completed?	No

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Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

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Additional Comments